SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

0.5

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obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

		Table I - Non-D	erivative S	ecurities Acc	quired, Dis	oosed of, or Bene	ficially	Owned		
(City)	(State)	(Zip)	—					Form filed by Mc Person	re than One Rep	oorting
(Street) WILMINGT(ON DE	19808	4. If Am 02/03/	,	Original Filed	(Month/Day/Year)	6. Indi Line) X	vidual or Joint/Grou Form filed by On	e Reporting Pers	son
(Last) 8145 BLAZE	(First) CR DRIVE	(Middle)	3. Date 01/30/	of Earliest Transa 2020	action (Month/D	vay/Year)		below)	below	/)
1. Name and Address of Reporting Person [*] IHLENFELD JAY V				r Name and Ticke LAND GLO		ymbol L <mark>DINGS INC</mark> [ationship of Reporting Person(s) to Issuer k all applicable) Director 10% Owner Officer (give title Other (speci		

1. Title of Se	1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	if any	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(5-												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, Transaction Derivativ		re ss I (A) sed str.	6. Date Exerc Expiration Da (Month/Day/\	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	01/30/2020		A		2,108 ⁽²⁾		(3)	(3)	Common Stock	2,108(2)	\$75.9	6,885 ⁽⁴⁾	D	

Explanation of Responses:

1. Each Restricted Stock Unit (RSU) represents a right to receive one (1) share of Ashland Common Stock.

2. Due to administrative error, an incorrect number of RSUs was reported. Actual RSUs granted were 2,108 with same grant date and same grant price as previously disclosed.

3. Grant of stock-settled Restricted Stock Units granted under Ashland's Omnibus Incentive Plan and deferred under Ashland's Deferred Compensation Plan for Non-Employee Directors, and exempt under Rule 16b-3. The Restricted Stock Units will vest one year after date of grant. (One (1) Restricted Stock Unit in the Deferred Compensation Plan for Non-Employee Directors is the equivalent of one (1) share of Ashland Common Stock.)

4. Balance includes 15 additional Restricted Stock Units acquired in lieu of cash dividends paid on March 15, 2019, 16 additional Restricted Stock Units acquired in lieu of cash dividends paid on June 15, 2019, 16 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash dividends paid on September 15, 2019, and 18 additional Restricted Stock Units acquired in lieu of cash divid

/s/ Jennifer I. Henkel, Attorney-02/11/2020

Date

<u>in-Fact</u>

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.