SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Check this box if no longer subject it
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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Estimated average burden	
hours per response:	0.5

	s of Reporting Persor		2. Issuer Name and Ticker or Trading Symbol ASHLAND GLOBAL HOLDINGS INC [5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
WULFSURIN	WILLIAM A		ASH]	X	Director	10% Owner			
				x	Officer (give title below)	Other (specify below)			
(Last) 50 E. RIVERCE	(First) NTER BLVD.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/29/2018		Chief Executive	,			
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	idual or Joint/Group Filing	Joint/Group Filing (Check Applicable				
COVINGTON	KY	41011		X	Form filed by One Repor	ting Person			
(City)	(State)	(Zip)			Form filed by More than Person	One Reporting			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	01/29/2018		М		43,479	A	\$72.39	123,706 ⁽¹⁾	D	
Common Stock	01/29/2018		F ⁽²⁾		17,408	D	\$72.39	106,298(1)	D	
Common Stock	01/29/2018		F ⁽³⁾		3,105	D	\$72.39	103,193(1)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Secu Acq (A) o Disp of (E	umber vative urities uired or oosed o) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(4)	01/29/2018		М			43,479	(5)	(5)	Common Stock	43,479	\$0	64,897	D	

Explanation of Responses:

1. Balance includes 30,750 shares of unvested Restricted stock.

2. Payment of tax liability by withholding securities incident to the vesting of Restricted Stock Units referenced in footnote 5 below, acquired pursuant to Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3.

3. Payment of tax liability by withholding securities incident to the vesting of Restricted Common Stock acquired pursuant to Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3.

4. Each Restricted Stock Unit represents a right to receive one (1) share of Ashland Common Stock.

5. Grant of Restricted Stock Units provided after measurement of the performance of the 2015-2017 LTIP award, pursuant Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3. The shares in this grant vest January 29, 2018, provided that the Reporting Person remains in continuous employment with the Issuer.

Michael S. Roe, Attorney in	01/20/2010
<u>Fact</u>	01/30/2018
** Signature of Reporting Person	Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.