SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] BONI ERIC N			2. Issuer Name and Ticker or Trading Symbol <u>ASHLAND INC.</u> [ASH]		ationship of Reporting Pe (all applicable) Director	erson(s) to Issuer 10% Owner	
(Last) 8145 BLAZER I	(First) DRIVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/17/2022	- x	Officer (give title below) Principal Accoun	Other (specify below) ting Officer	
(Street) WILMINGTON	IGTON DE 19808		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filing (Check Applica Form filed by One Reporting Person Form filed by More than One Reporting		
(City)	(State)	(Zip)	erivative Securities Acquired, Disposed of, or Bene	ficially	Person		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/17/2022		М		324	A	\$110.74	12,038.42	D	
Common Stock	11/17/2022		F ⁽¹⁾		94	D	\$110.74	11,944.42	D	
Common Stock	11/18/2022		М		262	A	\$111.88	12,206.42	D	
Common Stock	11/18/2022		F ⁽¹⁾		76	D	\$111.88	12,130.42	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(2)	11/17/2022		м			324	(3)	(3)	Common Stock	324	\$0	324.554	D	
Restricted Stock Units	(2)	11/17/2022		A		689		(4)	(4)	Common Stock	689	\$110.74	689	D	
Restricted Stock Units	(2)	11/18/2022		м			262	(5)	(5)	Common Stock	262	\$0	526.098	D	

Explanation of Responses:

1. Payment of tax liability by withholding securities incident to the vesting of Restricted Stock Units, acquired pursuant to Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3.

2. Each Restricted Stock Unit (RSU) represents a right to receive one (1) share of Ashland Common Stock.

3. Grant of Restricted Stock Units on November 17, 2020 pursuant to Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3. The restricted stock units vest in three annual installments beginning one year from the date of grant, provided that the Reporting Person remains in continuous employment with the issuer.

4. Grant of Restricted Stock Units, pursuant to Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3. The shares in this grant vest in three annual equal installments beginning one year from the date of grant, provided that the Reporting Person remains in continuous employment with the issuer.

5. Grant of Restricted Stock Units on November 18, 2021 pursuant to Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3. The restricted stock units vest in three annual installments beginning one year from the date of grant, provided that the Reporting Person remains in continuous employment with the issuer.

Remarks:

This Form 4 was originally filed on 11/21/22 using the incorrect CIK number for the Issuer and is being refiled under the correct CIK number.

/s/ Babatunde Adwodira, Attorney in Fact

<u>12/12/2022</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.