SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A	Address of Reporti MIN	2. Date of Event Requiring Stater (Month/Day/Yea 01/30/2020	ment	3. Issuer Name and Ticker or Trading Symbol <u>ASHLAND GLOBAL HOLDINGS INC</u> [ASH]						
(Last) (First) (Middle) 8145 BLAZER DRIVE		1100/2020		4. Relationship of Reporting Pers (Check all applicable) Director		son(s) to Issuer 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
					Х	Officer (give title below)	Other (spe below)		Individual or Join oplicable Line)	/Group Filing (Check
(Street)						Sr VP & Gen Mgr, Sp	oc Additives	· ·	, ,	y One Reporting Person
WILMINGTON DE 19808									Form filed b Reporting P	y More than One erson
(City)	(State)	(Zip)								
			Table I - Nor	n-Derivat	ive S	ecurities Beneficial	ly Owned			
1. Title of Sec	curity (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit			4. Conversio or Exercis	e Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiratior Date	Title	9	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units		(1)	(1)		Common Stock	2,606	(2)	D		
Restricted Stock Units			(3)	(3)		Common Stock	6,514	(2)	D	
Restricted Stock Units			(4)	(4)		Common Stock	934	(2)	D	
Stock Appreciation Right			01/02/2021	01/02/2030		Common Stock	4,785	76.76(5)	D	

Explanation of Responses:

1. Grant of 2606 Restricted Stock Units on January 2, 2020, pursuant to Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3. The shares in this grant will vest 100% three years from the grant date, provided that the Reporting Person remains in continuous employment with the Issuer.

2. Each Restricted Stock Unit represents a right to receive one (1) share of Ashland Common Stock.

3. Grant of 6514 Restricted Stock Units on January 2, 2020, pursuant to Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3. The shares in this grant will vest 100% four years from the grant date, provided that the Reporting Person remains in continuous employment with the Issuer.

4. Grant of 934 Restricted Stock Units on January 2, 2020, pursuant to Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3. The shares in this grant will vest in three equal annual installments beginning one year from the date of grant, provided that the Reporting Person remains in continuous employment with the Issuer.

5. Stock Appreciation Right granted pursuant to Ashland's incentive plan which vests in three annual installments: 50% after the first year, the next 25% the second year and the remaining 25% the third year.



** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

01/31/2020

The undersigned hereby appoints each of Peter J. Ganz and Jennifer I. Henkel, signing singly, his or her true and lawful attorney-in-fact to:

(1)?apply for and obtain on behalf of the undersigned the necessary access codes to file Forms 3, 4, 5 and 144, pursuant to Section 16(a) of the Securities Exchange Act of 1934 and Rule 144 of the Securities Act of 1933, respectively, electronically via the EDGAR system pursuant to Regulation S-T and the rules thereunder, and

(2)?act in a filing agent capacity to perform any and all acts for and on behalf of the undersigned which may be necessary to complete the filing of any such Form 3, 4, 5 and 144 with the U.S. Securities and Exchange Commission and any other authority in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder.

The undersigned hereby grants to each attorney-in-fact the full power and authority, for me and on my behalf, to perform all acts necessary and proper to be done in the exercise of the rights and powers hereby granted.

The undersigned acknowledges that the foregoing individuals are acting under this Power of Attorney at the request of the undersigned, and are not assuming any of the undersigned?s responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 or Rule 144 of the Securities Act of 1933.

Each attorney-in-fact shall be authorized to act under this Power of Attorney only so long as such attorney-in-fact is an employee of Ashland Global Holdings Inc., or until such time as this Power of Attorney has been revoked, annulled or set aside.

IN WITNESS WHEREOF, the undersigned has executed this Power of Attorney as of this 24th day of January, 2020.

/s/ Min Chong

Min Chong